

# ADVANTAGES OF UML FOR MULTIDIMENSIONAL MODELING\*

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Abstract: In the last few years, various approaches for the multidimensional (MD) modeling have been presented. However, none of them has been widely accepted as a standard. In this paper, we summarize the advantages of using object orientation for MD modeling. Furthermore, we use the UML, a standard visual modeling language, for modeling every aspect of MD systems. We show how our approach resolves elegantly some important problems of the MD modeling, such as multistar models, shared hierarchy levels, and heterogeneous dimensions. We believe that our approach, based on the popular UML, can be successfully used for MD modeling and can represent most of frequent MD modeling problems at the conceptual level.

## 1 INTRODUCTION

Data warehouses (DW), Multidimensional databases (MDB), and On-Line Analytical Processing (OLAP) applications rely on the multidimensional (MD) paradigm. The basic underlying constructs of MD modeling are *facts*, *measures* (fact attributes), *dimensions*, and *attributes* (dimension attributes). The star schema (Kimball, 1996) has been widely accepted as the underlying logical construct of MD systems (i.e., DW). However, there does not exist a precise model for the conceptual modeling, although various approaches for the conceptual design of MD systems have been proposed in the last few years, such as (Golfarelli and Rizzi, 1998; Sapia et al., 1998; Tryfona et al., 1999).

On the other hand, the Unified Modeling Language (UML) (Object Management Group (OMG), 2001) has been widely accepted as the standard object-oriented (OO) modeling language for describing and designing various aspects of software systems. We have previously proposed a MD modeling approach based on the UML (Trujillo et al., 2001), which has been recently formalized as a UML extension (Luján-Mora et al., 2002a). We take advantage of the flexibility of the UML to elegantly represent main MD prop-

erties at a conceptual level. Our approach imposes a three-layered schema that guides the designer in modeling the MD schema and the final user in navigating in the schema (Luján-Mora et al., 2002b).

The main contribution of this paper is to show how to use together in a unified proposal our previously presented partial solutions. Therefore, we show how our approach resolves some important problems of the MD modeling, such as multistar models, shared dimensions, multiple and alternative hierarchy levels, and heterogeneous dimensions. Furthermore, we also compare the solutions that our approach provide with other authors' solutions.

The remainder of this paper is organized as follows. Section 2 discusses some related work. Section 3 summarizes the basis of our OO conceptual MD modeling approach based on the UML. Section 4 highlights the main situations where the use of UML shows great advantages for MD modeling with respect to other approaches. Finally, Section 5 presents the main conclusions and introduces topics for future work.

## 2 RELATED WORK

In the last few years, several MD data models have been published. Some of them fall into the logical level (such as the well-known star schema by Kimball

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(Kimball, 1996)). Others, such as The Dimensional-Fact (DF) model (Golfarelli and Rizzi, 1998), The Multidimensional/ER (M/ER) model (Sapia et al., 1998) and The starER model (Tryfona et al., 1999), may be considered as formal models as they provide a formalism to consider main MD properties. A review of the most relevant logical and formal models can be found in (Blaschka et al., 1998; Abelló et al., 2001).

However, none of the current conceptual modeling approaches considers all MD properties at both the structural and dynamic levels. Therefore, we claim that a standard conceptual model is needed to consider all MD modeling properties at both the structural and dynamic levels. We argue that an OO approach with the UML is the right way of linking structural and dynamic level properties in an elegant way at the conceptual level.

### 3 MULTIDIMENSIONAL MODELING APPROACH BASED ON UML

In this section we summarize<sup>1</sup> our OO MD modeling approach based on the UML. Our approach is based on the one hand, in the use of *package diagrams* in order to simplify huge and inter-related MD models, and on the other hand, in a set of stereotypes<sup>2</sup> (FACT, DIMENSION, DESCRIPTOR, etc.) in order to represent the main structural properties of MD models.

#### 3.1 Extending UML

UML is a general purpose modelling language that is broadly applicable to different types of systems, domains, methods and processes. However, there may be situations in which the user might want to extend the language in some controlled ways to tailor it to specific problem domains. In anticipation of this situation, the UML provides a set of extensibility mechanisms that allow us to customize and extend the UML by adding new building elements (*stereotypes*), creating new properties (*tagged values*), and specifying new semantics (*constraints*).

We have previously proposed a UML extension for MD modeling (Luján-Mora et al., 2002a; Luján-Mora et al., 2002b). In this paper, we unify our proposals and present all the stereotypes together. In a UML diagram, there are four possible representations of a

<sup>1</sup>A complete description of our approach can be found in (Luján-Mora et al., 2002a; Luján-Mora et al., 2002b).

<sup>2</sup>The stereotypes are highlighted in the text using a SMALL CAPS font.

stereotyped element, e.g., the four representations of a class with the FACT stereotype are shown in Figure 1: icon (the stereotype icon is displayed), decoration (the stereotype decoration is displayed inside the element), label (the stereotype name is displayed and appears inside guillemots), and none (the stereotype is not indicated).

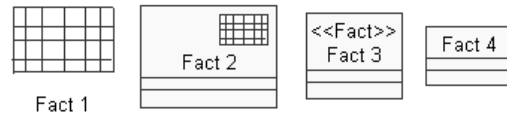


Figure 1: Different representations for a stereotyped class

#### 3.2 Package Diagrams

A MD model can be very complex when the scale of the MD system is large and includes a large number of interconnections among its different elements (mainly facts and dimensions). In our MD modeling approach, we use packages to group classes together into higher level units creating different levels of abstraction, and therefore, simplifying the final model. In this way, we avoid the use of flat diagrams, which are usually confusing for both final users and designers in most of the cases. We have divided the design process into three levels (Figure 2 shows a summary of our proposal):

**Level 1 : Model definition.** We use one package stereotype called STARPACKAGE that represents a star schema of a conceptual MD model. A dependency between two packages at this level indicates that the star schemas share at least one dimension.

**Level 2 : Star schema definition.** We use two package stereotypes called FACTPACKAGE and DIMENSIONPACKAGE that represent a fact or a dimension of a star schema respectively. A dependency between two dimension packages at this level indicates that the packages share at least one level of a dimension hierarchy.

**Level 3 : Dimension/fact definition.** A package from the second level is exploded into a set of classes that represent the hierarchy levels in a dimension package, or the whole star schema in the case of the fact package. We use three class stereotypes at this level: FACT, DIMENSION, and BASE.

#### 3.3 Facts and Dimensions

In our MD modeling approach, the main structural properties of MD models are specified by means of a UML class diagram in which the information is

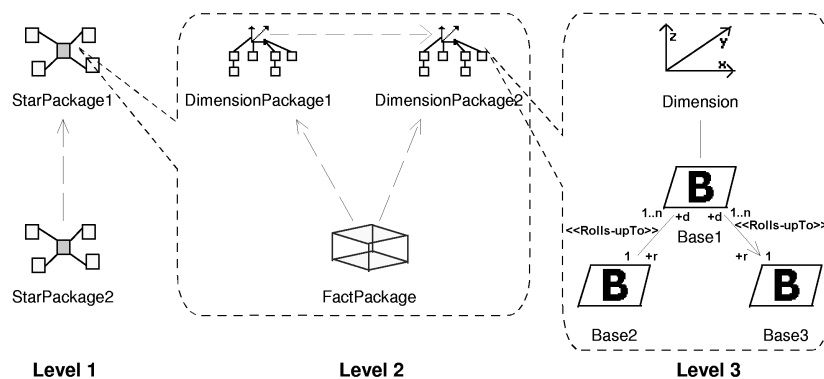


Figure 2: The three levels of a MD model.

clearly separated into facts and dimensions. Facts and dimensions are represented by FACT and DIMENSION classes, respectively. Then, FACT classes are specified as composite classes in shared aggregation relationships of  $n$  DIMENSION classes.

FACT classes consist of two kinds of attributes: FACTATTRIBUTES, which represent measures (the transactions or values being analyzed), and DEGENERATEDIMENSIONS (Kimball, 1996; Giovinazzo, 2000), that allow the DW designer to represent other FACT features in addition to the measures for analysis; DEGENERATEDIMENSIONS also facilitate joins with On-Line Transaction Processing (OLTP) databases.

With respect to dimensions, every classification hierarchy level is specified by a class called BASE class (see level 3 in Figure 2). A ROLLS-UPTO association of BASE classes specifies the relationships between two levels of a classification hierarchy; the roll-up and drill-down direction of the association is represented by means of “r” and “d” roles on the ends of the association. Our approach can represent both multiple and alternative classification hierarchies. The only prerequisite is that the hierarchy levels must define a Directed Acyclic Graph (DAG) rooted in the DIMENSION class. Every classification hierarchy level must have a DESCRIPTOR attribute; this attribute is necessary for an automatic generation process into commercial OLAP tools, as these tools store this information in their metadata. The multiplicity  $1$  and  $1..*$  defined in the target associated class role addresses the concepts of strictness and non-strictness, respectively. Strictness means that an object at a hierarchy’s lower level belongs to only one higher-level object. Moreover, defining an association as COMPLETENESS addresses the completeness of a classification hierarchy. By completeness we mean that all members belong to one higher-class object and that object consists of those members only. Our approach assumes all classification hierarchies are non-complete by default.

Finally, the categorization of dimensions, used to model additional features for a class’s subtypes, is represented by means of generalization-specialization relationships. However, only the dimension class can belong to both a classification and specialization hierarchy at the same time.

## 4 ADVANTAGES OF UML FOR MULTIDIMENSIONAL MODELING

In this section we highlight the main situations where the use of UML means a considerable advantage for MD modeling regarding other approaches. To exemplify our approach, we will use a simplified version of the warehouse example taken from (Kimball, 1996). In this example, there are three separate inventory definitions within the same model, representing different approaches of the inventory problem. The first approach is the *inventory snapshot*, which measures the inventory levels in a regular period of time (every day, every week, etc.). The second is the *delivery status inventory*, which tracks the disposition of all the items in a delivery until they leave the warehouse. Finally, the third is the *transaction inventory*; in this case every change of status of delivered products is recorded throughout the deliver flow of the product.

### 4.1 Multistar Models

The *multistar* concept, also called *fact constellation*, refers to the situation where a single MD model has multiple facts, and therefore, creating multiple star schemas. Basically, this structure is required when the facts do not share all the dimensions (Kimball, 1996).

As commented in Section 3.2, our approach is

based on a three-layered model. At level 1, multiple packages that represent different star schemas can be specified. For example, in Figure 3, the first level of the warehouse example is depicted. According to our MD approach, we have defined three packages that represent a star schema each one: Inventory Delivery Status Star, Inventory Snapshot Star, and Inventory Transaction Star. A UML dependency (represented as a dotted line with an arrow) connecting two packages indicates that one package uses elements (e.g. dimensions, hierarchy levels) defined in the other. The direction of the dependency indicates that the common elements shared by the two packages were first defined in the package pointed to by the arrow. To simplify the design, and therefore, reducing the number of dependencies, we highly recommend to choose a star schema to define the dimensions. Then, other schemas can use them with no need to define them again. If the common elements had been first defined in another package, the direction of the arrow would have been different.

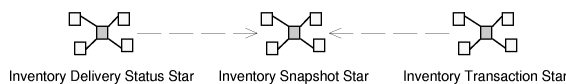


Figure 3: Multistar multidimensional model.

## 4.2 Support for Different Building Perspectives

There exist two “extreme” perspectives of building a DW (Kimball, 1998):

- To build the whole DW all at once from a central, planned perspective (*the monolithic approach*).
- To build separate subject areas (*data marts*) whenever is needed (*the stovepipe approach*).

Our MD modeling approach allows the user to apply any of these perspectives or a mixing of them. Thanks to the use of the UML packages, the DW designer can define the DW gradually or all at once. Moreover, thanks to the UML importing mechanism, the user can reuse a concept defined in a package in other packages.

For example, regarding the MD model depicted in Figure 3, on the one hand the DW designer could have modeled and implemented each one of the star schemas one by one or, on the other hand, the DW designer could have modeled the three star schemas firstly and then could have implemented all of them together.

## 4.3 Shared Dimensions

In multistar models, two or more star schemas can share some dimensions. The use of the same dimension in different STARPACKAGES<sup>3</sup> provides several advantages:

- Creating a set of shared dimensions takes 80% of the up-front data architecture effort (Kimball, 1998), because a single dimension can be used against multiple fact tables
- The final user is allowed to perform drill-across operations: requesting data from two or more facts in a single report.
- Sharing dimensions provides consistent definitions and data contents: it avoids the redefinition of the same concept twice and inconsistent user interfaces.

For example, following the example presented in Figure 3, Inventory Snapshot Star shares some dimensions with Inventory Delivery Status Star and Inventory Transaction Star, but the last two ones do not share any dimension between them. Then, if we explore each package diagram at a second level, we can observe which dimensions are shared. For example, Figure 4 shows the content of the STARPACKAGE Inventory Snapshot Star. The FACTPACKAGE Inventory Snapshot Fact is represented in the middle of the figure, while the DIMENSIONPACKAGES (Product Dimension, Time Dimension, and Warehouse Dimension) are placed around the fact package.

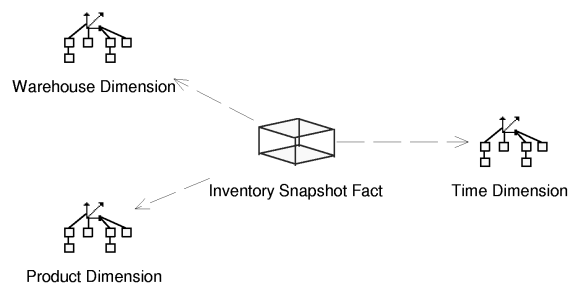


Figure 4: Level 2 of Inventory Snapshot Star.

On the other hand, Figure 5 shows the content of the STARPACKAGE Inventory Delivery Status Star; three of the dimension packages have been previously defined in the Inventory Snapshot Star, so they are

<sup>3</sup>“When multiple fact tables are tied to a dimension table, the fact tables should all link to that dimension table. When we use precisely the same dimension table with each of the fact tables, we say that the dimension is ‘conformed’ to each fact table” (Kimball, 1996).

imported in this package. Because of this importation, the name of the packages where they have been firstly defined appears below the package name; the name of the package also acts as a *name space*, therefore avoiding name conflicts when importing packages from different sources: it is possible to import DIMENSIONPACKAGES with the same name but defined in different STARPACKAGES. Moreover, a dependency has been drawn from Vendor Dimension to Warehouse Dimension because both dimensions share some hierarchy levels, as we will show in the next section.

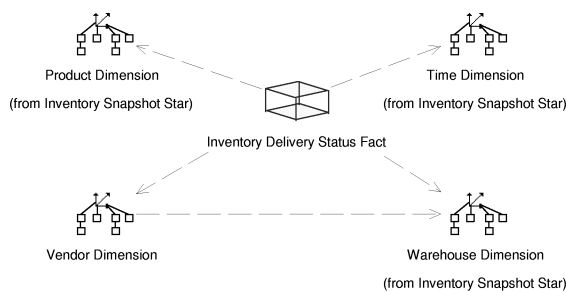


Figure 5: Level 2 of Inventory Delivery Status Star.

#### 4.4 Shared Hierarchy Levels

In some cases, two or more dimensions share some hierarchy levels. As in the case of shared dimensions, the use of the same levels in different dimensions avoids redefinitions and inconsistencies in the data.

For example, Figure 6 shows the content of the package Warehouse Dimension (from Figure 4) and Figure 7 shows the content of Vendor Dimension (from Figure 5) at level 3. In a DIMENSIONPACKAGE, a class is defined for the DIMENSION class (Warehouse and Vendor respectively) and one class for every classification hierarchy level (WarehouseFeatures, ZIP, City, SubRegion, SubZone, etc.). For the sake of simplicity, only the attributes of the first BASE class have been depicted in both diagrams; we can distinguish two kinds of attributes: DESCRIPTOR, represented by means of a D icon, and DIMENSIONATTRIBUTE, represented by means of a DA icon.

In this example, Warehouse and Vendor share some hierarchy levels: ZIP, City, County, and State. These levels have been firstly defined in the Warehouse Dimension; therefore, the name of the package where they have been previously defined appears below the class name (from Warehouse Dimension) in the Vendor Dimension (see Figure 7). Moreover, both dimensions contain some hierarchy levels that do not contain the other: SubRegion and

Region in the Warehouse Dimension, and SubZone and Zone in the Vendor Dimension.

In this example we also notice a salient feature of our approach: two dimensions, that share hierarchy levels, do not need to share the whole hierarchy. The package mechanism allows us to import only the required levels, thereby providing a higher level of flexibility. Moreover, we have decided to share a hierarchy for both dimensions to obtain a clearer design, although the designer may have decided not to do it if such sharing is not totally feasible.

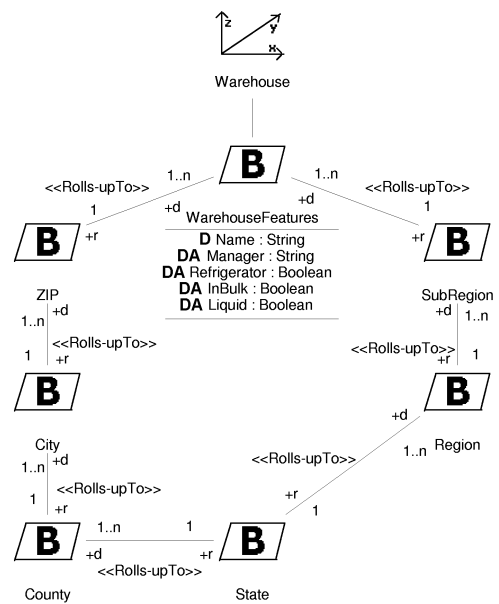


Figure 6: Level 3 of Warehouse Dimension.

#### 4.5 Multiple and Alternative Classification Hierarchies

Defining dimension classification hierarchies is highly crucial because these classification hierarchies provide the basis for the subsequent data analysis. Thanks to the flexibility of UML association relationships, we can represent *multiple* and *alternative classification hierarchies*. On the one hand, a classification hierarchy is multiple when a dimension has two or more classification hierarchies, therefore data can be rolled-up or drilled-down along two different hierarchies at least; on the other hand, two or more classification hierarchies are alternative when they converge into the same hierarchy level.

In Figure 7, Vendor Dimension presents a multiple classification hierarchy: (i) PersonalData, ZIP, City, County, and State, and (ii) PersonalData, SubZone, and Zone. On the other hand, Ware-

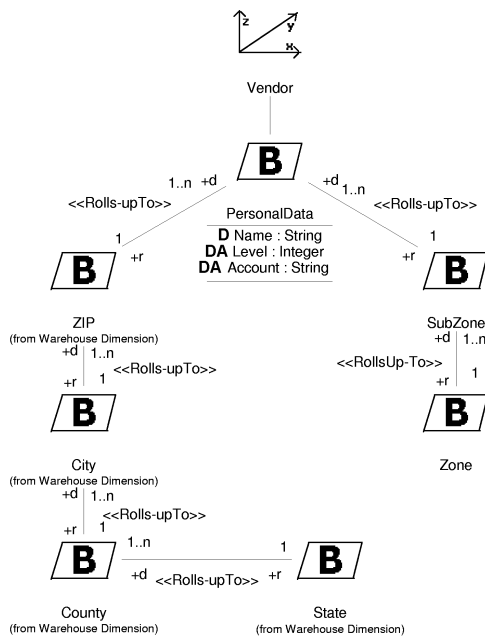


Figure 7: Level 3 of Vendor Dimension.

house Dimension (see Figure 6) presents an alternative classification hierarchy, because we have defined two classification hierarchies that converge into State BASE class.

#### 4.6 Heterogeneous Dimensions

A heterogeneous dimension is a dimension that describes a large number of heterogeneous items with different attributes (Kimball, 1996). Our MD modeling approach allows the DW designer to elegantly represent heterogeneous dimensions by means of generalization-specialization hierarchies. In our approach, the different items can be grouped together in different categorization levels depending on their properties. In this way, our approach allows us to have elements at the same aggregation level that have different attributes.

For example, Figure 8 shows the Product Dimension at level 3. The Product FACT has been modeled depending on the different subtypes –Liquid or Solid, Alcohol or Refreshment, etc.–, and each one of the subtypes contains particular properties –volume, weight, expiration, etc.–. For the sake of simplicity, we have omitted some of the attributes of the BASE classes.

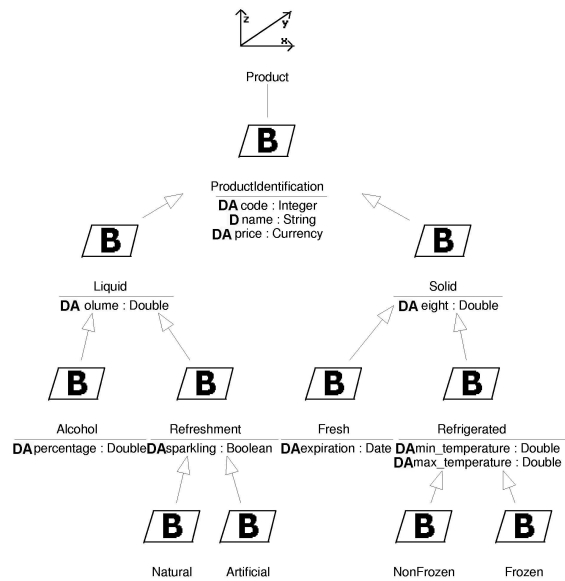


Figure 8: Level 3 of Product Dimension.

#### 4.7 Shared Aggregation

In our MD modeling approach, FACT classes are specified as composite classes in shared aggregation relationships of  $n$  DIMENSION classes. The flexibility of shared aggregation in the UML allows us to represent *many-to-many* relationships between FACT classes and particular DIMENSION classes by indicating the  $1..n$  cardinality on the DIMENSION class role.

For example, in Figure 9 we can see how the FACT class Inventory Delivery Status has a many-to-one relationship with the DIMENSION classes Time, Vendor, Product, and Warehouse (not completely shown in the diagram). For the sake of simplicity, we have omitted all the attributes of the DIMENSION and BASE classes. As noted, there are three shared aggregation relationships between Inventory Delivery Status and Time: Ordered, Received, and Inspected. Thanks to the use of UML named relationships, we can define more than one relationship between two classes. In this way, we can use the same DIMENSION and avoid redundancy and inconsistency problems. The FACT class Inventory Delivery Status contains six FACTATTRIBUTES (represented by means of a FA icon) and two DEGENERATEDDIMENSIONS (represented by means of a DD icon). PO\_number is the key to the purchase order header record and it is useful to the final user because it serves as the grouping key for pulling together all the products ordered on one purchase order (Kimball, 1996).

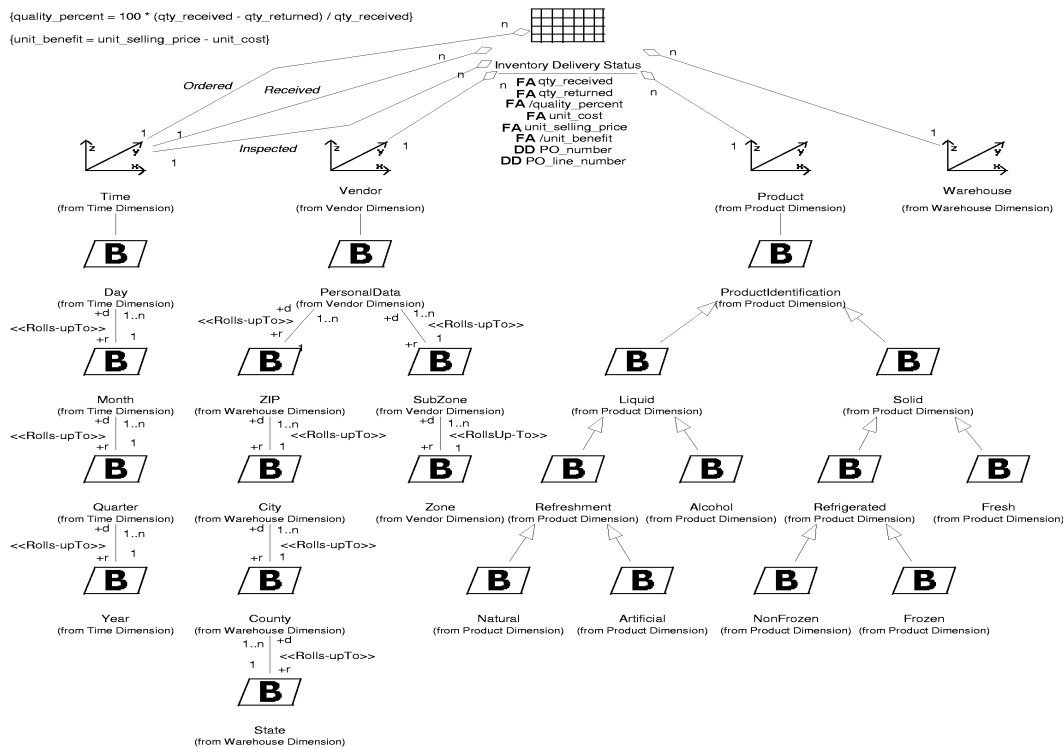


Figure 9: Level 3 of Inventory Delivery Status Fact.

## 4.8 Derivation Rules

In the UML, derived attributes are identified by placing / before the name of the attribute. In our MD modeling approach, the derivation rules are explicitly defined by means of Object Constraint Language (OCL) (Object Management Group (OMG), 2001) expressions. In this way, we provide a precise and formal mechanism to define derivation rules.

For example, in Figure 9 we can see two derivation rules for `quality_percent` and `unit_benefit`. The inclusion of the definition of the derived attributes at the conceptual design phase avoids the incorrect definition in the following phases. Moreover, the derivation rules can be used in a later implementation phase.

## 4.9 CASE Tool Support

Instead of creating our own CASE (*Computer-Aided Software Engineering*) tool, we have chosen to extend a well-known CASE tool available in the market, such as Rational Rose 2002. In this way, we do not have to develop our own CASE tool from scratch.

We have chosen Rational Rose as it is one of the most well-known visual modeling tools and is extensible by means of add-ins, which allows the user to package customizations and automation of several

Rational Rose features through the Rose Extensibility Interface (REI) (Rational Software Corporation, 2001) into one component. An add-in is a collection of some combination of the following: main menu items, shortcut menu items, custom specifications, properties (UML tagged values), data types, UML stereotypes, online help, context-sensitive help, and event handling.

We have developed an add-in, which allows us to use our MD modeling approach in Rational Rose. Therefore, we can use this tool to easily accomplish MD conceptual models<sup>4</sup>. In Figure 10, we can see a screenshot from Rational Rose that shows the first level of the inventory example used in Section 4. Some comments have been added to the screenshot in order to remark some important elements: the new toolbar buttons, the new package icons, the new icons shown in the model browser, and the new menu item called MD Validate that checks the correctness of a MD model.

<sup>4</sup> All the MD models shown in this paper have been modeled using our Rational Rose add-in.

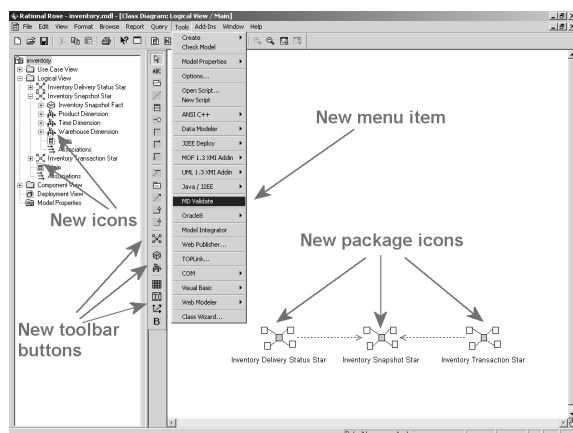


Figure 10: MD modeling in Rational Rose.

## 5 CONCLUSIONS AND FUTURE WORK

In this paper we have presented the main advantages of our OO conceptual MD modeling approach based on the UML. We have highlighted the main situations where the use of the UML means a considerable advantage. For example, we have exhibited how the usage of package diagrams leads to an exceptionally clean MD design of huge and complex systems, because package diagrams allow us to structure MD models at different levels of abstraction. Moreover, the importation mechanism in the UML simplifies the use of an element from one package in another package. In this way, we avoid the problems related to the redefinition of an element several times: redundancy, inconsistency, and ambiguity.

Our MD modeling approach can be continued following several different research lines. Firstly, we are considering the implementation of the MD conceptual models on pure MD databases, object-relational databases (ORDB), and OO databases (OODB). Due to the OO nature of our MD conceptual approach, we are studying the implementation in ORDB and OODB. Since our MD approach is semantically rich, we will need to define our own metadata to support all the expressiveness power of the approach. Secondly, we also plan to include different implementation strategies, e.g., the option of normalizing (snowflake structure) or denormalizing (only one structure) the dimension hierarchies. Finally, we are also considering incorporating UML use cases into our MD conceptual approach. These diagrams will allow us to partition the functionality of OLAP applications in an early stage of the analysis phase.

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